Our 2021 Catalogue

Train your team – LGCA for business*

**Special rates apply for upskilling your team. There is no minimum number of users. Empower your team with content authored by industry professionals.*

The London Governance and Compliance Academy (LGCA) is a multi-accredited UK leading provider for professional education specialising in financial services, accounting, governance, risk, compliance, cybersecurity, and business skills.

LGCA is an accredited training partner (ATP) of the Chartered Institute for Securities & Investment (CISI), offering fully online training courses for a great number of CISI qualifications. In addition to the CISI, the LGCA is also an accredited training institution the International Governance & Compliance Association (IGCA), the Association of Accounting Technicians (AAT), the International Association of Privacy Professionals (IAPP) and an accredited training provider of EXIN.

In addition to the accredited courses & qualifications, LGCA provides many vocational and other specific programs to assist individuals to their daily responsibilities and career progression.

LGCA is a member of the APCC (Association of Professional Compliance Consultants) as well as a CPD Certified Member. LGCA is part of the European Institute of Management and Finance (EIMF) Group.

Invested in your future

Our business is financial education, and we are passionate and committed to your personal and professional development in financial services. Our 200+ specialised courses cover a wide range of subjects, including regulatory compliance, financial crime, risk management, accounting, banking, compliance office skills, taxation, marketing, corporate governance, leadership, law and more.

Made for tomorrow, relevant today

Whether it is complaints handling or financial crime, compliance monitoring or sanctions updates, MiFIR transaction reporting or blockchain, at the LGCA we have courses designed to accommodate your mode of study and level of ability.

Our faculty of expert trainers are leading specialists in their fields. Their passion for their subjects, practical experience and years of training ensure that you get the most out of the courses; you gain relevant knowledge and practical skills that have a measurable impact on your personal and professional progression.

Bespoke – because each company is different

When considering inhouse training courses, we understand that your firm's needs will be unique. With this in mind, our courses are customised to your firm, the course participants, and their particular department. A course may be varied in length and depth and may focus on a particular issue to suit your needs.

Regulatory & Compliance

The London Governance & Compliance Academy offers a full range of introductory, refresher and advanced regulatory compliance courses, including Anti-Money Laundering, Anti-Bribery and Corruption, Market Abuse, and many others.

Most of our training programmes are of the UK and the EU relevance, however our library also includes narrowed versions specific to certain countries, like Ireland, Cayman Islands, and Cyprus.

These courses are ideal to train your team on FCA regulations, keep them up to date and meet your training regulatory requirements.

Any LGCA's Compliance & Regulatory programme can be localised and further tailored to meet your exact requirements.

Further, any topic can be delivered in more depth through bespoke in-house training for your team as Live Online.

Contact us for a free consultation regarding your training needs & plan.

- Compliance Introduction (CI)
- Advanced Anti-Money Laundering for Financial Institutions (AAMLFI)
- Risk-Based Approach to Anti-Money Laundering (RBAAML)
- AML 4th & 5th Directives Overview (AMLDO)
- Anti-Bribery & Corruption (ABC)
- Anti-Money Laundering & Terrorism Financing Fundamentals (AMLF)
- Anti-Money Laundering and Counter Terrorist Finance (AML)
- SM&CR – Conduct Rules (SMCR-CR)
- Senior Managers and Certification Regime (SMCR)
- CASS Introduction (CASSI)
- Ethics & Compliance (EC)
- Governance, Risk & Compliance (GRC)
- Know Your Client (KYC) & Customer Due Diligence (CDD) (KYCCDD)
- GDPR
- Market Abuse (MAR)
- Best Execution (BE)
- Conflict of Interest (COIN)
- Treating Customers Fairly (TCF)
- Solvency II (SOLVII)
- Basel/CRD for Banks (CRD)

ESMA (EU) Compliance

The London Governance & Compliance Academy, powered by EIMF Group, offers an array of EU-specific training programmes, including those related to the operation of Alternative Investment Funds and UCITS.

With these courses you can keep your team updated on rapidly changing ESMA regulations, always meeting your training regulatory requirements.

Any LGCA's Compliance & Regulatory programme can be localised and further tailored to meet your exact requirements. Further, any topic can be delivered in more depth through bespoke in-house training for your team as Live Online.

Contact us for a free consultation regarding your training needs & plan.

- AIF, AIFM and UCITS (AAU1810H120)
- AIF - Alternative Investment Funds (AIF1810H120)
- AIF and AIFM (AIFAIFM1810H120)
- AIFM - Alternative Investment Fund Managers (AIFM1810H120)
- AML Fundamentals (AML1120)
- AML Intermediate (AMLi1120
- Corporate Governance for Financial Institutions (CGFSH120)
- Conflict Management (CMH120)
- Governance, Risk Management and Compliance (GRCH120)
- Know your Client (KYC) and Customer Due Diligence (CDD) (KYCCPD02)
- Market Abuse Regulation Overview (MARO1808H120)
- Product Governance (PG221)
- Risk Based Approach (RBA) to Anti Money Laundering (RBAH120)
- UCITS - Undertakings for Collective Investments in Transferable Securities (UCITS1810H120)

LGCA Certificates

LGCA Certificate courses equip you with up-to-date information in Governance Risk, and Compliance, both on introductory and intermediary level, making a nice first step towards more advanced international qualification, such as IGCA or CISI.

Any LGCA Certificate programme is customisable to fit your business model, industry sector, market niche and other requirements.

- International Economic Sanctions (IES)
- Financial Promotion & Marketing Compliance (FPMC)
- Financial Regulation: International Financial Regulatory Environment (FRIFRE)
- Fundamentals of Risk Management (FRM)
- Governance, Risk & Compliance (GRC)
- ICAAP – Internal Capital Adequacy Assessment Process (ICAAP)
- Finance & Accounting Essentials (FAE)
- Insider Dealing & Market Manipulation (IDMM)
- MiFIR Reporting Standards (MIFIR)
- Practical Aspects of the Compliance Function (PACF)
- Risk-Based Approach to Anti-Money Laundering (RBAAML)
- Treating Customers Fairly & Handling Complaints in the Financial Services Sector (TCFHC
- UCITS: Undertakings for Collective Investment in Transferable Securities (UCITS)

LGCA i-Lectures

LGCA i-Lectures© are interactive on-demand lectures on specialised topics.

LGCA is offering these lectures in collaboration with a strong network of professionals and industry subject matter experts.

If you have a specific training need and you wish LGCA to develop a customised and/or a specific lecture around a topic, feel free to contact us at info@lgca.uk

All LGCA i-Lectures© include study material, handouts, videos, case studies, multiple choice questions, an LGCA Certificate for your CPD units and Tutor support.

- MAR | Market Abuse Regime iLecture by Vivienne Bannigan
- MiFIR | MiFIR Transaction Reporting iLecture by Vivienne Bannigan
- CASS | CASS Resolution Pack iLecture by Vivienne Bannigan

International Qualifications

The International Governance and Compliance Association provides certifications of the highest benchmark – created based on international standards of competence relevant to compliance, risk, cybersecurity, technology, and governance professionals Networking – providing a platform where professionals can network and share ideas with fellow colleagues from all over the world.

IGCA qualifications help GRCC professionals to acquire and develop the required knowledge & best practices within the fields of governance, risk, compliance, and cybersecurity.

IGCAAML | IGCA Certificate in AML

The Certificate in AML provides participants with a thorough understanding of what constitutes Money Laundering (ML) and how financial institutions should respond to increasingly complex attempts by criminal individuals and entities to process proceedings from illegal activities in a manner that enables them to enjoy such illegal proceedings.

IGCACC | IGCA Certificate in Compliance

This Certificate Programme will introduce the essential elements of effective organizational compliance by exploring the concepts, considerations, and strategies for assessing risks and managing the compliance function. You will learn the fundamental principles of effective compliance, the components of an effective compliance program and their applications.

IGCAKYCCDD| IGCA Certificate in KYC & CDD

This Certificate Course provides essential knowledge for KYC and CDD procedures which are key tools in assessing customer risk and a legal requirement to comply with Anti-Money Laundering (AML) and Counter-Terrorist Financing (CFT) laws. Effective KYC/CDD involves knowing a customer's identity and their financial activities, ongoing monitoring of business activities, and identifying and mitigating the AML/CFT risk a business relationship may pose on the regulated entity.

International Qualifications

The Chartered Institute for Securities & Investment is the leading professional body for securities, investment, wealth, and financial planning professionals. Formed in 1992 by London Stock Exchange practitioners, we have a global community of circa 45,000 members in over 100 countries and last year more than 40,000 CISI exams were sat in 81 countries, 15,000 taken outside the UK.

CISI believe a professional is someone who has a combination of knowledge and skills, and acts with integrity, envisioning their purpose, as a professional body, as providing and encouraging the attributes necessary to be a professional.

**LGCA is offering training for all CISI qualifications including (Wealth, Capital Markets, Operations, Financial Planning, Islamic Finance) through instructor-led live online courses.*

Contact us for a free consultation and a training plan.

CISI International Introduction to Investment (CISIIII)

The International Introduction to Investment offers a broad introduction to the financial services professional sector, with a specific focus on investments from a global perspective. The course focuses on international markets and covers key financial principles and products in depth including assets and markets, equities, bonds, derivatives, and investment funds. It explores the broader economic environment in which the financial services profession operates and looks at how economic activity is determined and managed in different economic and political systems. The course offers an introduction to financial services regulation and ensures candidates are provided with an understanding of ethical behaviour and acting with integrity.

CISI Risk in Financial Services (CISIRFS)

This course offers a comprehensive global introduction to the major risk areas in financial services. It addresses international issues, reflecting the needs of a worldwide market, and provides a sound grounding in the principles of the risk management framework, corporate governance, and risk oversight. It covers specific techniques used in identifying, reducing, and managing operational risk, credit risk, market risk, investment risk and liquidity risk.

CISI Global Financial Compliance (CISIGFC)

Global Financial Compliance will provide you with a broad understanding of the compliance issues that arise within the financial services sector. The course addresses techniques used to implement a successful compliance function in a bank and provides a comprehensive introduction to all the issues a global Compliance Officer may encounter. It explores the international regulatory environment, managing the risk of financial crime, governance, risk management, ethics, integrity, and fairness.

Accounting Qualifications

LGCA through its **AccountingWise** platform offers 100% online self-paced courses and mock exams to pass any accounting global qualification.

Our exam focused incentre and online courses are available for CIMA, AAT, ACCA and ICAEW.

LGCA, as a member of EIMF group, is an Accredited Training Provider of all major associations.

CIMA qualifications

CIMA is a market leading business qualification recognised around the world. LGCA offer a range of online courses, all supported by high quality materials prepared by experienced tutors and friendly service teams.

AAT qualifications

The AAT is the UK's leading Accountancy body offering entry level qualifications. The AAT is open to all, regardless of age or experience there is a place that anyone can start their accountancy studies with the AAT.

ACCA qualifications

ACCA has a worldwide reputation for excellence and their rigorous qualifications are recognised and respected across the globe. When you join the ACCA, you become part of a diverse body of more than 208,000 members and 503,000 students in 179 countries.

CFA Certificate in ESG Investing

LGCA in collaboration with Quartic offers an interactive self-paced online course to prepare candidates who are planning to take the CFA Institute exams on the ESG Investing Certificate.

The CFA Institute Certificate in ESG Investing is already becoming the definitive qualification on this essential topic.

The CFA Institute Certificate in ESG Investing explores environmental, social and governance issues in the context of investment decision-making. What are the key issues? How do we identify them? And how do we then incorporate them into our investing? All shall be revealed…

This exam is designed for investment practitioners of all levels – you may be looking to start a career in finance, or you may already be running a global multi-asset fund. You may also be advising on or distributing financial products or working in risk management: the application of this qualification is broad indeed.

- The CFA Institute Certificate in ESG Investing

  The Certificate in ESG Investing is developed, administered, and awarded by CFA Society of the United Kingdom (CFA UK) and successful candidates will receive the CFA UK Level 4 Certificate in ESG Investing.

CFA Institute

Blockchain

LGCA, an Accredited Training Partner of the Blockchain Training Alliance, offers introductory, intermediary, and advanced certification courses covering most aspects of this cutting-edge technology.

We also provide training for CFISA's Level I and Level II Certificates in Cybersecurity Awareness, as well as Level I & Level II PCI Compliance Security Awareness.

- BHP | Blockchain for Healthcare Professionals
- BOBF | Blockchain Overview: Business Foundations
- BSEC | Blockchain Security
- BSA | Blockchain Solutions Architecture
- CBBF | Certified Blockchain Business Foundations (CBBF) with Exam Voucher
- CBDE | Certified Blockchain Developer – Ethereum (CBDE) with Exam Voucher
- CBDH | Certified Blockchain Developer – Hyperledger Fabric (CBDH) with Exam Voucher
- CBSP | Certified Blockchain Security Professional (CBSP) with Exam Voucher
- CBSA | Certified Blockchain Solution Architect (CBSA) with Exam Voucher
- CEH | Certified Ethical Hacker
- CDLA | Corda Distributed Ledger Architecture
- DSB | Data Science and Blockchain
- DHF | Developing of Hyperledger Fabric 1.4
- Ethereum Developer
- HF | Hyperledger Fabric 1.4: Architecting, Designing and Deploying Network

CPD for Accountants

LGCA offers a variety of CPD courses for professional accountants.

We do offer bespoke white label solutions of our eLearning platform and record keeping training portals. We also deliver in-house and live online training, including tutor support.

Get in touch for a customised training plan.

- Using accounting software (2 CPD)
- Cash management (4 CPD)
- Costing techniques (4 CPD)
- Analysis of Financial statements (4 CPD)
- Identifying financial statements fraud (4 CPD)
- General data protection regulation (4CPD)
- Planning and allocating work (4 CPD)
- Performance management (4CPD)
- Leading and motivating a team (2 CPD)
- Organizing & delegating (4 CPD)
- Finance for non-financial managers (4 CPD)
- Reconciling control accounts (2 CPD)
- Internal Control Procedures (4 CPD)
- Finance and Accounting for non-financial managers - 21 hours (21 CPD)
- Accounting for Hedges and Derivatives - 14 hours (14 CPD)
- IFRS for Banks and Financial Institutions 14 hours (14 CPD)
- IFRS for SMEs - 14 hours (14 CPD)
- VAT updates after Brexit - 5 hours (5 CPD)
- Financial Planning, Budgeting and Control - 14 hours (14 CPD)
- Cash flow management - 7 hours (7 CPD)
- Financial Analysis for risk managers - 7 hours (7 CPD)
- IFRS 16 - Leasing - Updates and application - 5 hours (5 CPD)
- IFRS 9 - Derivatives - Updates and application 14 hours (14 CPD)
- IFRS 15 - Revenues from Contracts with Customers - 5 hours (5 CPD)
- ISA 600 - Group audits - 5 hours (5 CPD)
- Business tax fundamentals 14 hours (14 CPD)
- Financial Statement Fraud - 7 hours (7 CPD)
- Financial forecasting - 7 hours (7 CPD)
- How to understand and analyze financial statements - 7 hours (7 CPD)
- Fixed Assets Management - 5 hours (5 CPD)
- Cash & Treasury Management for SMEs - 7 hours (7 CPD)
- Introduction to budgeting (4 CPD)
- Bookkeeping fundamentals (2 CPD)
- Credit control techniques (4 CPD)
- Analyzing budgets (4 CPD)
- Audit & assurance fundamentals (4 CPD)
- Ethical considerations for accountants (2 CPD)
- Spreadsheets for accountants (2 CPD)
- The fundamentals of VAT (4 CPD)
- Business tax fundamentals (4 CPD)
- Personal tax fundamentals (4 CPD)
- AML & Tax fraud (8 CPD)

Cybersecurity, PCI & GDPR

LGCA partners with the Center for Information Security Awareness (CFISA) and provides training for CFISA's Level I and Level II Certificates in Cybersecurity Awareness, as well as Level I & Level II PCI Compliance Security Awareness.

We also offer our own Certificate courses of Information Security and Cybersecurity.

- CFISAI | CFISA Certificate of Cybersecurity Awareness | Level I
- CFISAII | CFISA Certificate of Cybersecurity Awareness | Level II
- PCICSAI | CFISA PCI Compliance Security Awareness | Level I
- PCICSAII | CFISA PCI Compliance Security Awareness | Level II
- GDPRA | GDPR Awareness
- IS | Information Security
- ICS | Introduction to Cybersecurity

Soft Skills

LGCA offers Soft Skills training on a wide range of subjects. Courses are bundled up in 5- to 10-packs, with an overall study time from 50 to 100 minutes.

An LGCA Certificate of Completion is issued upon completion of each course of a bundle.

- BI | Business Innovation
- CE | Coaching Essentials
- CSA | Customer Service Applied
- CSE | Customer Service Essentials
- CS| Cybersecurity Essentials
- FE | Finance Essentials
- HRE | HR Essentials
- LT | Leadership Toolkit
- MS | Marketing and Sales
- ME | Marketing Essentials
- SE | Sales Essentials
- TE| Teamwork Essentials
- LRM | Leadership Role Model

Bespoke In-House (Live Online)

We offer bespoke in-house training courses based on each organisation's needs. We help your team to build skills and be up to date with current legislation and trends. Each course can be delivered in-house or live online.

- MiFIR Transaction Reporting
- Risk in Financial Services
- Solvency II
- Data science and AI for decision makers
- Fintech and the regulators
- Risk governance workshop
- CRD IV for Banks
- CASS oversight responsibilities
- Supporting CASS assurance oversight
- Demystifying data science
- COCON the Code of Conduct
- Innovations in financial concepts and the balance between financial regulation and financial innovations
- Use of blockchain in real estate
- Introduction to Bond and Equity Markets in UK
- Real Estate and Alternative Investments
- Board Development and Strategy
- AML – Anti-Money Laundering (Awareness)
- SM&CR Toolkit (An A-to-Z guide)
- CDD and EDD – Customer Due Diligence and Enhanced Due Diligence
- ABC – Anti-Bribery and Corruption
- Writing an effective SAR/STR – Suspicious Activity/Transaction Report
- Correspondent Banking
- TBML – Trade Based Money Laundering
- MVTS – Money or Value Transfer Services
- Asset Restraint and Unexplained Wealth Orders
- Foundation Simulation
- Advanced Public Sector Intelligence Fraud
- OCNs – Organised Criminal Networks (including specific courses on Human Trafficking and Organised Motorcycle Gangs (Netherlands))
- Sanctions Awareness
- Market Abuse Regime
- Complaint handling and dispute resolution
- Financial promotions and digital media issues generally
- Product governance
- Advice and suitability standards and requirements for non-advised transactions
- Financial crime and International Sanctions
- Financial crime & AML
- Crisis and Risk Management
- Intelligence-led Investigations
- CASS Resolution Pack
- Competition Law
- UK Trust and Investment Industry
- Finance Accounting and Risk
- Entrepreneurial Finance
- International Trade Law
- The Legal Aspects of International Business
- Introduction to Basel III Capital Adequacy
- M&A and Company Valuation

Contact Us

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