



# LGCA

London Governance &  
Compliance Academy

## 2022 CATALOGUE

---

Build skills with our courses, certificates, and  
global qualifications.



## Product Catalogue 2022

Training methods:

- eLearning Self-Paced Courses
- Live Online Courses
- In-House Trainings

## Your partner in GRC and Financial Education

The London Governance & Compliance Academy (LGCA) offers a full range of introductory, refresher and advanced regulatory compliance courses, including Anti-Money Laundering, Anti-Bribery and Corruption, Market Abuse, Compliance Introduction, Vulnerable Clients, and many others.

Most of our training programmes are of the UK and the EU relevance, however our library also includes narrowed versions specific to certain countries, like Ireland, Malta, Cayman Islands, and Cyprus. These courses are ideal to train your team on financial regulations, keep them up to date and meet your training regulatory requirements.

Any LGCA's compliance and regulatory programme can be localised and further tailored to meet your exact requirements. Further, any topic can be delivered in more depth through bespoke In-House training for your team either as face-to-face or live online.

Contact us for a free consultation regarding your training needs & plan.

## Contact Information



[info@lgca.uk](mailto:info@lgca.uk)



[www.lgca.uk](http://www.lgca.uk)



+44 203 5140270



London Governance & Compliance Academy  
34 Lime Street,  
London, EC3M 7AT

## FCA Compliance Courses

eLearning Self-Paced

- Compliance Introduction
- Anti-Money Laundering and Counter Terrorist Finance
- Vulnerable Client Management
- Anti-Bribery and Corruption
- Market Abuse
- SCMR – The Conduct Rules
- Senior Managers and Certification Regime
- CASS Introduction
- GDPR Awareness
- Treating Customers Fairly
- Approved Persons Regime
- Whistleblowing
- Introduction to Cybersecurity
- Best Execution
- Solvency II
- DAC6

## EU Compliance Courses

eLearning Self-Paced

- AIF, AIFM and UCITS
- AIF - Alternative Investment Funds
- AIF and AIFM
- AIFM - Alternative Investment Fund Managers
- AML Fundamentals
- AML Intermediate
- Corporate Governance for Financial Institutions
- Conflict Management
- Governance, Risk Management and Compliance
- Know your Client (KYC) and Customer Due Diligence
- Market Abuse Regulation Overview
- MiFID II
- Product Governance
- Risk Based Approach (RBA) to Anti Money Laundering
- Solvency II
- UCITS - Undertakings for Collective Investments in Transferable Securities

## Central Bank of Ireland (CBI) Compliance Courses

eLearning Self-Paced

- Anti-Bribery and Corruption
- Anti-Money Laundering and Counter Terrorist Finance
- Market Abuse
- GDPR

## Other GRC eLearning Courses

eLearning Self-Paced

Any LGCA Certificate programme is customisable to fit your business model, industry sector, market niche and other requirements.

- International Economic Sanctions
- Financial Promotion & Marketing Compliance
- Financial Regulation: International Financial Regulatory Environment
- Fundamentals of Risk Management
- Governance, Risk & Compliance
- ICAAP – Internal Capital Adequacy Assessment Process
- Finance & Accounting Essentials
- Insider Dealing & Market Manipulation
- MiFIR Reporting Standards
- Practical Aspects of the Compliance Function
- Risk-Based Approach to Anti-Money Laundering
- Treating Customers Fairly & Handling Complaints in the Financial Services Sector
- UCITS: Undertakings for Collective Investment in Transferable Securities (UCITS)

## International Qualifications

eLearning Self-Paced



The Association of Governance, Risk and Compliance provides certifications of the highest benchmark – created based on international standards of competence relevant to compliance, risk, cybersecurity, technology, and governance professionals networking – providing a platform where professionals can network and share ideas with fellow colleagues from all over the world. AGRC qualifications help GRCC professionals to acquire and develop the required knowledge & best practices within the fields of governance, risk, compliance, and cybersecurity.

LGCA is an approved training provider (ATP) of AGRC.

- Fundamentals of Corporate Governance
- Fundamentals of International Economic Sanctions
- Fundamentals of KYC and CDD
- Fundamentals of Anti-Bribery and Corruption
- Fundamentals of Risk Based Approach (RBA) to AML
- Fundamentals of the Regulatory Framework for the Financial Services Sector
  
- Certificate in KYC and CDD
- Certificate in Compliance
- Certificate in International Economic Sanctions
- Certificate in AML



The Chartered Institute for Securities & Investment is the leading professional body for securities, investment, wealth, and financial planning professionals. Formed in 1992 by London Stock Exchange practitioners, we have a global community of circa 45,000 members in over 100 countries and last year more than 40,000 CISI exams were sat in 81 countries, 15,000 taken outside the UK.

CISI believe a professional is someone who has a combination of knowledge and skills, and acts with integrity, envisioning their purpose, as a professional body, as providing and encouraging the attributes necessary to be a professional.

LGCA is offering training for all CISI qualifications including (Wealth, Capital Markets, Operations, Financial Planning, Islamic Finance) through instructor-led live online courses. Contact us for a free consultation and a training plan.

We also offer eLearning self-paced courses on the below:

- CISI Introduction to Investment
- CISI Risk in Financial Services
- CISI Global Financial Compliance

## Accounting Qualifications

eLearning Self-Paced



LGCA through its AccountingWise platform offers 100% online self-paced courses and mock exams to pass any accounting global qualification. Our exam focused incentive and online courses are available for CIMA, AAT, ACCA and ICAEW. LGCA, as a member of EIMF group, is an Accredited Training Provider of all major associations.



ACCA has a worldwide reputation for excellence and their rigorous qualifications are recognised and respected across the globe. When you join the ACCA, you become part of a diverse body of more than 208,000 members and 503,000 students in 179 countries.



CIMA is a market leading business qualification recognised around the world. LGCA offer a range of online courses, all supported by high quality materials prepared by experienced tutors and friendly service teams.



The AAT is the UK's leading Accountancy body offering entry level qualifications. The AAT is open to all, regardless of age or experience there is a place that anyone can start their accountancy studies with the AAT.

## CFA Certificate in ESG Investing

eLearning Self-Paced



**CFA Institute**

LGCA in collaboration with Quartic offers an interactive self-paced online course to prepare candidates who are planning to take the CFA Institute exams on the ESG Investing Certificate.

The CFA Institute Certificate in ESG Investing is already becoming the definitive qualification on this essential topic. The CFA Institute Certificate in ESG Investing explores environmental, social and governance issues in the context of investment decision making. What are the key issues? How do we identify them? And how do we then incorporate them into our investing?

This exam is designed for investment practitioners of all levels – you may be looking to start a career in finance, or you may already be running a global multi-asset fund. You may also be advising on or distributing financial products or working in risk management: the application of this qualification is broad indeed.

The CFA Institute Certificate in ESG Investing: The Certificate in ESG Investing is developed, administered, and awarded by CFA Society of the United Kingdom (CFA UK) and successful candidates will receive the CFA UK Level 4 Certificate in ESG Investing.

## Blockchain

eLearning Self-Paced



**BLOCKCHAIN**  
TRAINING ALLIANCE

LGCA, an Accredited Training Partner of the Blockchain Training Alliance, offers introductory, intermediary, and advanced certification courses covering most aspects of this cutting-edge technology. We also provide training for CFISA's Level I and Level II Certificates in Cybersecurity Awareness, as well as Level I & Level II PCI Compliance Security Awareness.

- Blockchain for Healthcare Professionals
- Blockchain Security
- Blockchain Solutions Architecture
- Certified Blockchain Business Foundations with Exam Voucher
- Certified Blockchain Developer – Ethereum with Exam Voucher
- Certified Blockchain Developer – Hyperledger Fabric with Exam Voucher
- Certified Blockchain Security Professional with Exam Voucher
- Certified Blockchain Solution Architect with Exam Voucher
- Certified Ethical Hacker
- Corda Distributed Ledger Architecture
- Data Science and Blockchain
- Developing of Hyperledger Fabric 1.4
- Ethereum Developer
- Hyperledger Fabric 1.4: Architecting, Designing and Deploying Network

## Cybersecurity, PCI & GDPR

eLearning Self-Paced

LGCA partners with the Center for Information Security Awareness (CFISA) and provides training for CFISA's Level I and Level II Certificates in Cybersecurity Awareness, as well as Level I & Level II PCI Compliance Security Awareness. We also offer our own Certificate courses of Information Security and Cybersecurity.

- Certificate of Cybersecurity Awareness
- PCI Compliance Security Awareness
- Information Security
- Introduction to Cybersecurity
- GDPR
- Power BI
- Advanced Excel

## Business Skills

eLearning Self-Paced

LGCA offers 400+ Soft-Skills micro-learning training courses on a wide range of subjects.

- Compliance Essentials
- Business Innovation
- Coaching Essentials
- Customer Service Applied
- Customer Service Essentials
- Cybersecurity Essentials
- Finance Essentials
- HR Essentials
- Leadership
- Marketing and Sales
- Sales Essentials
- Teamwork Essentials
- Leadership Role Model



## Bespoke In-House

Face-to-Face and/or Live Online

We offer bespoke in-house training courses based on each organisation's needs. We help your team to build skills and be up to date with current legislation and trends. Each course can be delivered in-house or live online.

- A Comprehensive Guide to UK Financial Services Regulation and Compliance
- AML & FCC Graduate/New Entrant Workshop
- Anti-Bribery & Corruption
- Anti-Money Laundering
- Automating Excel with Macros and Analysis Tools
- CASS Oversight Responsibilities
- CDD and EDD – Customer Due Diligence and Enhanced Due Diligence
- Certificate in ESG and Sustainable Finance
- CFA Certificate in ESG Investing
- CIS Administration
- CISI International Certificate in Wealth and Investment Management
- CISI Risk in Financial Services
- Client Assets - Validating Your CASS Arrangements
- COCON the Code of Conduct
- Complaint Handling and Dispute Resolution
- Compliance Monitoring - a Risk-Based Approach
- Conduct Risk Workshop (can be combined with Culture)
- Conflicts of Interest
- CRD IV for Banks
- CRS & FATCA Compliance & Reporting
- Culture - Establish, Implement, Maintain
- Data Science and AI for Decision Makers
- Demystifying Data Science
- Effective Compliance Report Writing
- Ensuring Your Audit Committee Is Ready for SMCR
- Equity Valuation & Discounted Cash Flow Modelling
- Evidencing Effective Board Reporting
- Evidencing the Effectiveness of Good Governance
- Evidencing the Fair Treatment of Vulnerable Customers
- Excel – Excel Essentials and Formulas
- Exploring Transaction Reporting Operational Oversight and Assurance
- Finance For Non-Financial Managers
- Financial Crime
- Financial Crime and International Sanctions
- Financial Crime Risks in the Investment Sector
- Financial Promotions
- Financial Promotions and General Digital Media Issues
- Fintech and the Regulators
- Foreign Exchange Markets
- Fraud Prevention (general and asset-based lending-specific)
- Fundamentals of Complaint Handling and DISP
- Global Compliance Institute – Foreign Tax Specialist (FTS)
- How to Be a Compliant and Responsible Supervisor
- How to Conduct & Manage Your Own Board Evaluations
- How to Conduct a Compliance Risk Assessment?
- IAPP Certified Information Privacy Professional

- Increasing Responsibilities for HR in Financial Services
- Information Givers in Wealth Management
- Insider Dealing
- Insurance Distribution Directive (IDD) - an Overview
- International Anti-Bribery & Corruption (AB&C) Compliance
- Introduction to Bond and Equity Markets in UK
- Introduction to COCON the Code of Conduct
- Introduction to Complaint Handling
- Introduction to Derivatives Markets
- Introduction to Financial Markets / First Principles
- Introduction to General Insurance Promotions (ICOBS)
- Investment Risk Measurement & Management
- Managing T&C In a Changing Regulated Environment to Meet SMCR Requirements
- Market Abuse
- MiFID II Knowledge and Competency
- MiFID II Product Governance
- MiFID II Workshop for Funds
- MiFIR Transaction Reporting
- Operational Risk & Resilience
- Personal Account Dealing
- Preparing for (and Surviving) an FCA / PRA visit
- Preventing, Detecting and Investigating Financial Crime
- Product Management and Governance
- Promoting Financial Products under MiFID, Appropriateness and Non-Advised Transactions
- Quickbooks Advanced Skills
- Quickbooks Basic Skills
- Research and Inducements
- Retail Conduct Risk & Culture
- Risk Governance Workshop
- Risk in Financial Services
- Risk Management Oversight
- Risk of Outsourcing in Financial Services
- Role of the Compliance Officer
- Roles and Responsibilities of the Money Laundering Reporting Officer (MLRO)
- Satisfying Your MiFID II Suitability Requirements
- Solvency II
- Supporting CASS Assurance Oversight
- Sustainability Related Disclosures in the Financial Services Sector (SFDR)
- The Application of Blockchain in the Real Estate Industry
- The Consumer Duty
- The FCA's New Prudential Regime
- The Psychology of Risk
- The Senior Managers & Certification Regime - the Implications for FCA Solo Regulated Firms
- Treating Customers Fairly and Handling Complaints in Investment and Banking Services
- Understanding Securitisation – an Introduction to Asset-Backed Securities (ABS)
- Understanding SFTR
- Understanding the Bond Markets
- Vulnerable Client Management
- What It Means to Supervise Certified People
- Xero Advanced Skills
- Xero Basic Skills